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## NOTICE OF ALLOWANCE AND FEE(S) DUE

30559

7590

03/16/2009

DIANA HOUSTON SMITH & NEPHEW, INC. 1450 BROOKS ROAD MEMPHIS, TN 38116 EXAMINER

EREZO, DARWIN P

ART UNIT

PAPER NUMBER

3773

DATE MAILED: 03/16/2009

|   | APPLICATION NO. FILING DATE |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------------------|------------|----------------------|---------------------|------------------|
| Ī | 09/827,252                  | 04/05/2001 | J. Charles Taylor    | 39262/256238        | 5171             |

TITLE OF INVENTION: ORTHOPAEDIC FIXATION PLATE

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$0                 | \$0                  | \$1510           | 06/16/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

| maintenance fee notifica  | correspondence including de below or directed other titions.  DENCE ADDRESS (Note: Use Bigging 1)      |  | No  | te: A certificate of   | mailing  | can only be used for   | correspondence address as<br>ate "FEE ADDRESS" for<br>domestic mailings of the  |
|---|--|--|---|--|--|--|---|
|   | par  | Fee(s) Transmittal. This certificate cannot be used for any other accompanyi papers. Each additional paper, such as an assignment or formal drawing, mu have its own certificate of mailing or transmission.   |   |  |  |  |   |
| 30559   | nav  |  |   | of Mailing or Transn   | niccion  |  |   |
| DIANA HOUS<br>SMITH & NEPI<br>1450 BROOKS   | HEW, INC.<br>ROAD  | I h<br>Sta<br>ado<br>trai  | I hereby certify that this Fee(s) Transmittal is being deposited with t States Postal Service with sufficient postage for first class mail in an addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO (571) 273-2885, on the date indicated belo                     |  |  |  |   |
| MEMPHIS, TN   | 38116  |  | L   |  |  |  | (Depositor's name)  |
|   |  |  |   |  |  |  | (Signature)   |
|   |  |  |   |  |  |  | (Date)  |
| APPLICATION NO.   | FILING DATE  |  | FIRST NAMED INVENTOR  | ₹  | ATTOR  | RNEY DOCKET NO.  | CONFIRMATION NO.  |
| 09/827,252  | 04/05/2001   |  | J. Charles Taylor   |  | 3  | 9262/256238  | 5171  |
| TITLE OF INVENTION  | N: ORTHOPAEDIC FIX   | ATION PLATE  |   |  |  |  |   |
|   |  |  |   |  |  |  |   |
|   | T  | ī  | 1   |  |  |  | <del> </del>  |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE DUE   | PREV. PAID ISSU  | E FEE  | TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional  | NO   | \$1510   | \$0<br>   | \$0<br><b>-</b>  |  | \$1510   | 06/16/2009  |
| EXAM  | MINER  | ART UNIT   | CLASS-SUBCLASS  | ]  |  |  |   |
| EREZO, D  | OARWIN P   | 3773   | 606-056000  |  |  |  |   |
| ☐ "Fee Address" ind   | oondence address (or Cha<br>B/122) attached.<br>lication (or "Fee Address<br>D2 or more recent) attach | (1) the names of up to agents OR, alternat (2) the name of a sing registered attorney or   | 2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is  3 |  |  |  |   |
| 3. ASSIGNEE NAME A PLEASE NOTE: Un  | ND RESIDENCE DATA<br>less an assignee is ident<br>th in 37 CFR 3.11. Com                               | ified below, no assignee   | I<br>THE PATENT (print or ty  | rpe) patent. If an assign assignment.  |  |  | cument has been filed for   |
| Please check the appropr  | riate assignee category or   | categories (will not be p  | rinted on the patent):  | Individual 🗖 C   | orporatio  | on or other private grou   | p entity Government   |
|   | are submitted:  No small entity discount p  # of Copies  | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |   |  |  |  |   |
| 5. Change in Entity Sta   | ,  | ,  |   | 11.  |  | VIII   | 0.1.07(.)(0)  |
|   | ns SMALL ENTITY state  |  | b. Applicant is no lo   |  |  |  | assignee or other party in  |
| interest as shown by the  | records of the United Sta  | ites Patent and Trademark  | Coffice.  | ше аррисан, а гед  | istered a  | uorney or agent, or the  | assignee of other party in  |
| Authorized Signature  |  |  |   | Date   |  |  |   |
| Typed or printed name   |  |  |   | _  |  |  |   |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | itiality is governed by 35 dapplication form to the ions for reducing this bu /irginia 22313-1450. DC  | FR 1.311. The informati<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>O NOT SEND FEES OR   | on is required to obtain or 1.14. This collection is es<br>depending upon the indi<br>e Chief Information Offic<br>COMPLETED FORMS T  | retain a benefit by t<br>stimated to take 12<br>vidual case. Any co<br>er, U.S. Patent and<br>O THIS ADDRESS | the publi<br>minutes<br>omments<br>Tradem<br>S. SEND | c which is to file (and<br>to complete, including<br>on the amount of tim<br>ark Office, U.S. Depar<br>TO: Commissioner fo | by the USPTO to process)<br>gathering, preparing, and<br>e you require to complete<br>tment of Commerce, P.O.<br>or Patents, P.O. Box 1450, |

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

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| APPLICATION NO.                       | NO. FILING DATE |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------------------|-----------------|------------|----------------------|---------------------|------------------|
| 09/827,252                            | (               | 04/05/2001 | J. Charles Taylor    | 39262/256238        | 5171             |
| 30559                                 | 7590            | 03/16/2009 |                      | EXAM                | INER             |
| DIANA HOU                             | STON            | EREZO, D   | ARWIN P              |                     |                  |
| SMITH & NEPHEW, INC.                  |                 |            |                      | ART UNIT            | PAPER NUMBER     |
| 1450 BROOKS ROAD<br>MEMPHIS, TN 38116 |                 |            |                      |                     |                  |

# Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|   | Application No.  | Applicant(s)  |                   |  |  |  |
|---|--|---|-------------------|--|--|--|
|   | 09/827,252   | TAYLOR ET AL.   |                   |  |  |  |
| Notice of Allowability  | Examiner   | Art Unit  |                   |  |  |  |
|   | Donwin D. Erozo  | 2772  |                   |  |  |  |
|   | Darwin P. Erezo  | 3773  |                   |  |  |  |
| The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313   | (OR REMAINS) CLOSED or other appropriate comr IGHTS. This application is | in this application. If not included nunication will be mailed in due cou | urse. <b>THIS</b> |  |  |  |
| 1. $\boxtimes$ This communication is responsive to <u>the communication final</u>   | <u>led on 8/12/08</u> .  |   |                   |  |  |  |
| 2. X The allowed claim(s) is/are <u>1-9, 11-14 and 36-51</u> .  |  |   |                   |  |  |  |
| <ul> <li>3. Acknowledgment is made of a claim for foreign priority unally all blooms. Some claim for foreign priority unally all blooms. Some claim for foreign priority unall blooms.</li> <li>1. Certified copies of the priority documents have claim copies.</li> <li>2. Certified copies of the priority documents have claim copies.</li> <li>3. Copies of the certified copies of the priority documents.</li> </ul> | e been received.<br>e been received in Applica                           | ion No  | n from the        |  |  |  |
| International Bureau (PCT Rule 17.2(a)).  |  | <b>3</b>  |                   |  |  |  |
| * Certified copies not received:  |  |   |                   |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   |  | le a reply complying with the requir                                      | rements           |  |  |  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subminFORMAL PATENT APPLICATION (PTO-152) which give  |  |   | TICE OF           |  |  |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") must   | st be submitted.   |   |                   |  |  |  |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached  |  |   |                   |  |  |  |
| 1)  hereto or 2)  to Paper No./Mail Date  |  |   |                   |  |  |  |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date  |  |   |                   |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t  |  |   | ck) of            |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo<br>attached Examiner's comment regarding REQUIREMENT  |  |   | e the             |  |  |  |
|   |  |   |                   |  |  |  |
| Attachment(s)   | 5 Notice of  | Informal Detaut Application   |                   |  |  |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>   |  | Informal Patent Application<br>Summary (PTO-413),                         |                   |  |  |  |
| ,   | Paper No   | o./Mail Date  |                   |  |  |  |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date   | 7. ∐ Examiner  | 's Amendment/Comment  |                   |  |  |  |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material  |  | 's Statement of Reasons for Allowa  | ınce              |  |  |  |
|   | 9.   | ·   |                   |  |  |  |
| /Darwin P. Erezo/   |  |   |                   |  |  |  |
| Primary Examiner, Art Unit 3773   |  |   |                   |  |  |  |
|   |  |   |                   |  |  |  |

Application/Control Number: 09/827,252 Page 2

Art Unit: 3773

## **REASONS FOR ALLOWANCE**

1. The following is an examiner's statement of reasons for allowance:

2. The prior art of record fails to teach or render obvious an orthopaedic spatial fixation system comprising, inter alia, a plurality of fixation plates, wherein at least two of the fixation plates are connected to each other by at least six substantially rigid, adjustable length struts that, wherein each of the struts is disposed substantially diagonally with respect to its adjacent struts.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Darwin P. Erezo whose telephone number is (571)272-4695. The examiner can normally be reached on M-F (8:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jackie Ho can be reached on (571) 272-4696. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/827,252 Page 3

Art Unit: 3773

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Darwin P. Erezo/ Primary Examiner, Art Unit 3773